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PATENT Docket No: 2364/400

# THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: LIEVEN DeVEYLDER et al.
U. S. Serial No: 09/937,008
Corresponding to International ) Application No: PCT/EP00/02441 )
International Filing Date: March 20, 2000
For: METHOD FOR ENHANCING AND/OR ) IMPROVING PLANT GROWTH AND/OR YIELD ) OR MODIFYING PLANT ARCHITECTURE )

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. §§ 1.197 and 1.98, it is respectfully requested that the following disclosures, which are also listed on the attached Form PTO-1449, be made of record in the above-captioned application.

- 1. Dennis, F. (1995) "Regulation of the Cell Cycle Plant Development" *Acta Pharmaceutica (Zagreb) 45:* 147-156.
- 2. Doonan, J. (1996) "Plant Growth: Roots in the Cell Cycle" Current Biology 6: 788-789.
- 3. Fowler, M. R. et al. (1998) "The Plant Cell Cycle in Context" *Molecular Biotechnology 10*: 123-153.
- 4. Genschik, P. et al. (December 1998) "Cell Cycle-Dependent Proteolysis in Plants: Identification of the Destruction Box Pathway and Metaphase Arrest Produced by the Proteasome Inhibitor MG132" *The Plant Cell 10*: 2063-2075.

- 5. Riou-Khamlichi, C. et al. (March 1999) "Cytokinin Activation of Arabidopsis Cell Division Through a D-Type Cyclin" *Science 283*: 1541-1544.
- 6. Sauter, M. (1997) "Differential Expression of a CAK (cdc2-Activating Kinase)-Like Protein Kinase, Cyclins and cdc2 Genes From Rice During the Cell Cycle and in Response to Gibberellin" *The Plant Journal 11:* 181-190.
- 7. Segers, G. et al. (1996) "The Arabidopsis Cyclin-Dependent Kinase Gene cdc2bAt is Preferentially Expressed During S and G<sub>2</sub> Phases of the Cell Cycle" *The Plant Journal 10:* 601-612.
- 8. International Application Number PCT/AU91/00556, (International Publication No. WO 92/09685), published June 11, 1992.
- 9. International Application Number PCT/GB96/01560, (International Publication No. WO 97/02354), published January 23, 1997.
- 10. International Application Number PCT/US97/12656, (International Publication No. WO 98/03631), published January 29, 1998.
- 11. International Application Number PCT/EP98/01522, (International Publication No. WO 98/41642), published September 24, 1998.
- 12. International Application Number PCT/EP98/05673, (International Publication No. WO 99/13083), published March 18, 1999.
- 13. International Application Number PCT/EP99/03158, (International Publication No. WO 99/58681), published November 18, 1999.

Copies of each of the references are also provided herewith. All of the references are in the English language and are listed on the International Search Report, which is also submitted herewith.

Consideration of this Information Disclosure Statement is respectfully requested, since the art provided may be material to the examination of the present invention as defined in 37 C.F.R. §1.56(a).

Inasmuch as this Information Disclosure Statement is being submitted prior to the issuance of an Official Action on the merits, no fee, certification or petition is required by Applicants.

Respectfully submitted,

Date: December 4, 2001

Ann R. Pokalsky Registration No. 34,697

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Certificate of Mailing - 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below.

Doto.

Maria Matos

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U.S. DEPARTMENT OF COMMERCE	ATT DOCKET NO.	SERIAL NO.
PATENT AND TRADEMARK OFFICE	2364/400 JAN 0 9 2002 💆	09/937,008
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	S/	
	Lieven De Veylder et al. & TRADEMART	
(use several sheets if necessary)	FILING DATE	GROUP ART UNIT
(PTO-1449)	March 20, 2000	

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPRO- PRIATE
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#### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS- LATION IF APPRO- PRIATE
AH	WO 92/09685 V	6/11/92				
AI	W0 97/02354 <sup>√</sup>	1/23/97				
AJ	WO 98/03631 /	1/29/98				

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

AK	1	Dennis, F. (1995) "Regulation of the Cell Cycle Plant Development" Acta Pharmaceutica (Zagreb) 45: 147-156.
AL	,	Doonan, J. (1996) "Plant Growth: Roots in the Cell Cycle" Current Biology 6: 788-789.
AM	v	Fowler, M. R. et al. (1998) "The Plant Cell Cycle in Context" Molecular Biotechnology 10: 123-153.
AN	<b>V</b>	Genschik, P. et al. (December 1998) "Cell Cycle-Dependent Proteolysis in Plants: Identification of the Destruction Box  Pathway and Metaphase Arrest Produced by the Proteasome Inhibitor MG132" The Plant Cell 10: 2063-2075.
AO	J	Riou-Khamlichi, C. et al. (March 1999) "Cytokinin Activation of Arabidopsis Cell Division Through a D-Type Cyclin" Science 283: 1541-1544.
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	Sheet 2 of 2
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Lieven De Veylder et al.	
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### U.S. PATENT DOCUMENTS

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### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS- LATION IF APPRO- PRIATE
	ВН	WO 98/41642 ✓	9/24/98				
-	ВІ	WO 99/13083 /	3/18/99				
	ВЈ	WO 99/58681 √	11/18/99				

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	BK	J	Sauter, M. (1997) "Differential Expression of a CAK (cdc2-Activating Kinase)-Like Protein Kinase, Cyclins and cdc2 Genes
			From Rice During the Cell 'Cycle and in Response to Gibberellin" The Plant Journal 11: 181-190.
	BL	4	Segers, G. et al. (1996) "The Arabidopsis Cyclin-Dependent Kinase Gene cdc2bAt is Preferentially Expressed During S and G2
	,		Phases of the Cell Cycle" The Plant Journal 10: 601-612.
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